

**Information of Nominated Person for Directorship Form
for the 2023 Annual General Meeting of Shareholders
LH Financial Group Public Company Limited**

Affix 2
photo
(not older

1. First name – Last name

1.1 (In Thai) (Former name (if any)).....)

1.2 (In English) (**Mr./Mrs./Ms.**)(Former name (if any)).....)

ID card number

Passport number (for foreigner).....

2. For “Director” position

The position applied for approval (same as that stated in the application letter)

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Type of director (independent director/non-executive director/executive director)

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For “Executive” position

The position applied for approval (same as that stated in the application letter)

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Key responsibilities.....

3. Date/month/year of birth (B.E.).....Age.....Years

4. Nationality.....Gender.....

5. Current address Postal code.....

Phone number Mobile number

E-mail Address.....

6. Marital status (single/married (registered)/married (not registered)/divorced /widowed)

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6.1 First name – Last name of “Spouse”

(1) (In Thai) (Former name (if any)).....)

(2) (In English) (Former name (if any)).....)

Nationality.....

ID card number

Passport number (for foreigner)

6.2 First name – Last name of “Child / Adopted Child” (**minor only**)

(1) (In Thai) (Former name (if any).....)

(2) (In English) (Former name (if any).....)

Nationality.....

ID card number

Passport number (in case of a foreigner)

Date/month/year of birth (**B.E.**).....

7. Other responsibilities in the sub-committees (if any)

Name of the sub-committee	Position

8. Professional qualifications

8.1 Educational background (**Undergraduate degree onwards in reverse chronological order**)

Name of institution	Degree	Qualification/Professional field

8.2 Training or seminar relating to the position applied for approval

(For those applied to IT-related positions only)

Name of course	Organizer	Year attended (B.E.)

9. Work experiences

9.1 Work experiences **up to present (10 years back in reverse chronological order)**

Name of employer	Type of business	Position (director/ executive/staff member)	From (B.E.)	To (B.E.)

9.2 Are you currently holding a political position or any other positions deemed to have “prohibited characteristics” as prescribed in Section 24 of the Financial Institution Business Act B.E.2551 and relevant Bank of Thailand Notifications? If “yes”, please specify the position and elaborate on how such prohibited characteristics will be rectified.

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10. Knowledge, competence, and experience that would be beneficial to business operations of the financial institution/company.

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11. Report of business related to self, spouse, and minor. Please provide information of each and every company that the person applied for approval serves as a director, manager or person with power of management and **also every company that the person together with spouse and minor holding shares totaling more than 5 percent of paid-up capital.**

11.1 Company related to “Self”**Business group 1**

No.	Company name	Listed domestic/ overseas company (yes/no)	Type of business	Position	From – To (B.E)	% of shares held	Chairman/Executive director/Authorized director (yes/no)	Being granted credit form FI / company within the solo-consolidated group of FI applied for approval? (yes/no)

Business group 2

No.	Company name	Listed domestic/ overseas company (yes/no)	Type of business	Position	From – To (B.E)	% of shares held	Chairman/Executive director/Authorized director (yes/no)	Being granted credit form FI / company within the solo-consolidated group of FI applied for approval? (yes/no)

Business group 3

No.	Company name	Listed domestic/ overseas company (yes/no)	Type of business	Position	From – To (B.E)	% of shares held	Chairman/Executive director/Authorized director (yes/no)	Being granted credit form FI / company within the solo-consolidated group of FI applied for approval? (yes/no)

Total companies related to the person involvesgroups.....companies

Total companies that the person serves as chairman/executive director/ authorized director
groups

Total listed domestic and overseas companies that the person serves as the director companies

Additional notes (If the person applied for approval serves as the chairman/executive director for more than 3 “other” business groups or serves as the director of listed domestic and overseas companies for more than 5 companies, which does not comply with the guidelines as specified in the related Bank of Thailand Notifications, please specify the action to be taken to make this person be qualified according to specified guidelines.)

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11.2 Company related to “Spouse”

No.	Company name	Type of business	Position	From – To (B.E)	% of shares held	Being granted credit form FI / company within the solo-consolidated group of FI applied for approval? (yes/no)

11.3 Company related to “Minor”

No.	Name of minor child	Company name	Type of business	Position	From – To (B.E)	% of shares held	Being granted credit form FI / company within the solo-consolidated group of FI applied for approval? (yes/no)

12. History of lawsuits (**both local and overseas**) (Please specify “No” if there is no history of lawsuits)

Court/ Attorney/ Police	Status (defendant/ indicted)	Case (civil/criminal/ bankruptcy/ administrative/ others)	Charge or offence	Capital/ Claimed amount	Case number	Last trial date/ Final judgement date	Judgement

13. History of accusations, petitions, **pending in litigations**, investigation or interrogation by local and overseas government organizations e.g. State Audit Office of the Kingdom of Thailand (**OAG**), Office of the National Anti- Corruption Commission (**NACC**), Anti-Money Laundering Office (**AMLO**). **For example, Bank of Thailand (BOT), The Office of the Securities and Exchange Commission (SEC), Office of Insurance Commission (OIC) Office of the Consumer Protection Board (OCPB)** (Please specify “No” if there is no mentioned history)

Government organization making accusation/ petition or litigation/ doing investigation/ inflicting punishment	Offences	Date of accusation/ petition/ litigation/ investigation/ punishment	Status of the litigation/ investigation/ punishment	Results

14. History of investigations by former or current employers (for an investigation related to the violation of laws or internal guidelines relevant to honesty, the financial institution shall also submit the documents as specified in Item 15.6)

Employer	Issue	Date of investigation	Investigator	Investigation status	Results of investigation

15. Supporting documents (use the existing documents/submit additional documents/no documents – specify reasons.....)

15.1 Photocopy of passport (for a foreigner).

15.2 Organization chart and job description of the position applied for approval.

15.3 Organization chart and committee structure including sub-committee of FI/company
(Application for approval of director position only)

15.4 Certificate or any document from the financial institution or company granting credits to the person applied for approval, from the credit bureau or from relevant agencies verifying that the credits of such person are not classified into impaired (non-performing) financial assets and commitments.

15.5 Information of credits granted, transactions similar to granting of credits or credit guarantee issued by FI/ company for persons or any related person of those persons applied for approval (except credits granted in the form of credit cards or credits granted as welfare) (if any)

15.6 Documents or evidence proving current status of accusation or litigation specified in 12 to 14 from related agencies e.g. Government organization or current/former employer (if any)

15.7 Confirmation of approval intent or confirmation of appointment intent

(1) Confirmation of approval intent or confirmation of appointment intent from the chairman of the nomination committee – if the person applied for approval is investigated or had been investigated by the former or current employer for the violation of laws or internal guidelines related to honesty; and

(2) Confirmation of approval intent or confirmation of appointment intent from the chairman of the board of director – if the person applied for approval is to serve as the director, manager, or equivalent position but with different job title is investigated or had been investigated by the former or current employer for the violation of laws or internal guidelines related to honesty

On this, for a foreign bank branch, it may use the confirmation of approval intent and confirmation of appointment intent from the committee or person responsible for approving or evaluating the performance of senior executives of the foreign bank branch at the regional office or head office.

15.8 Other evidence or information that would be beneficial to the verification of qualifications (if any)

16. Declaration of the person applied for approval

I hereby certify that and agree to the following:

16.1 I certify that I have all required qualifications and I do not have any prohibited characteristics according to the Financial Institution Business Act B.E.2551 or any prohibited characteristics as specified in the applicable Bank of Thailand Notifications,

16.2 I certify that all information given in the curriculum vitae and all documentary evidence attached is true, complete, **accurate, and up-to-date**. Also, I permit the Bank of Thailand to **perform background checks and disclose, submit, transfer such information to other government authorities including other related agencies for the purpose of data validation check, regulatory compliance, and supervisory coordination. Meanwhile, I have informed related persons about the purpose.**

16.3 I permit the Bank of Thailand to request for more information or additional documents to **perform my background check throughout the tenure and disclose to related agencies mentioned above.**

16.4 I agree to be **promptly** dismissed from the position approved by the Bank of Thailand without any claims or appeals against the Bank of Thailand and related persons in the following cases:

- (1) If I do not qualify or have any prohibited characteristics **stipulated in the law and applicable Bank of Thailand Notifications as I have certified; or**
- (2) If, later, it appears that I have given incorrect information **or conceal partial/all information in the curriculum vitae and attached documentary evidence. Therefore, it can be deemed that I have the characteristic of dishonesty inclination.**

On this, if there is any significant change to the information I have given on the curriculum vitae and **Documentary evidence**, which may affect the verification of qualifications and prohibited characteristics, I will notify the Bank of Thailand within **15** days as I am aware of such change, or if I have been approved by the Bank of Thailand but later I am under investigation by my employer, I will manage to have the confirmation of appointment intent, as specified in 15.7, and submit it to the Bank of Thailand within **15** days from the day the investigation committee is set up or the investigation commences – whichever comes first.

Accordingly, I have **thoroughly studied, comprehended**, and fully understand the roles, duties and responsibilities of **directors, persons with power of management, advisors and/or senior executives of financial Institutions, required by the Financial Institution Business Act B.E. 2551 and other applicable laws as well as other relevant Bank of Thailand Notifications**. And, I will perform duties of the position I have been approved with integrity and ethics according to **Good Corporate Governance Code** at all time while remaining in the position.

(Signed)

(Owner of curriculum vitae/Consent is given by)

(.....)

(Name-Last name in block letters)

Date